



CDSA
College of Dental Surgeons of Alberta

ANNUAL REPORT 2023

The CDSA acknowledges that what we call Alberta is the traditional and ancestral territory of many First Nations, Métis, and Inuit people whose footsteps have marked these lands for centuries.



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PRESIDENT'S REPORT

2023 was another productive year for the Council of the College of Dental Surgeons of Alberta (CDSA). The elected dental members of Council worked effectively with the public members appointed by the Government of Alberta. The role of the public members has grown this past year to ensure that the interests of the public are represented at all decision levels of the CDSA, and the CDSA is fortunate to have a diverse group of dedicated public members that fill these roles. By utilizing the combined perspectives and skill sets of elected and public members, the Council of the CDSA fulfills its mission of advancing the dental health of Albertans through safe, available, quality, and ethical dental services. This model of co-governance is functioning well within the CDSA.

Over the course of the year the CDSA saw some major projects come to completion. One of the most ambitious was the revisions to the Code of Ethics. The Council received stakeholder feedback in the fourth quarter of the year; then Council considered the feedback and made the desired modifications prior to holding a vote and adopting the new CDSA Code of Ethics before 2023 came to an end. A Code of Ethics is a guiding document that lays out the expectations for the behaviour of dentists. In combination with the *Health Professions Act*, it is a cornerstone of professional regulation. The engagement of membership and outside stakeholders was both important and appreciated as the CDSA completed this process to create the modernized guidance this aspirational Code of Ethics brings. Again, the work carried out by our public members was invaluable in getting this task completed at such a high level.

The Council had the opportunity to continue the ongoing process of reviewing several Standards of Practice as well as developing some new Standards that reflect the modern dental profession. Several of these reached the stage of being sent for stakeholder review. These include Standards for Continuing Competence, Facial Esthetic Therapies and Adjunctive Procedures, Informed Consent,

Patient Records, Privacy and Management of Patient Health Information, and Radiation Health and Safety.

The year 2023 marked the second year of the CDSA Professional Liability Insurance Plan (PLIP). The plan continued to perform better than expected. This has resulted in more comprehensive coverage for dentists and ensures that the public is protected in the event of an outcome that is deemed malpractice. In addition to better coverage, the program guarantees that all registered dentists have coverage while they are registered in Alberta without the risk of the coverage being cancelled or lapsing. The PLIP program is delivered by an Alberta broker and at a cost savings to the profession.

The relationship between the CDSA Council and the CEO/Registrar and the rest of the Administration team continues to be a strong and functional relationship. The governance model of the CDSA holds that the decision-making body is the Council. The implementation of Council decisions is completed by the Administration. Of course, the Administration is able to respectfully give advice and feedback to the Council and this occurs regularly.

With growth in the number of practicing dentists each year, the work of registering and communicating with Alberta dentists has required more resources. The Administration has grown in a prudent way to be responsive to the public and to the dental profession in ways that further supports the Mission. The Professional Conduct Department under the leadership of the Complaints Director is an excellent example of utilizing resources well with the outcome being better service to both the Alberta public and the profession with increased fairness and transparency. The prudent use of funds by the Council and by the Administration has allowed the CDSA to keep registration costs at the lowest feasible amounts. This is important as the CDSA endeavours to make the pathway to registration as fair, efficient, and cost effective as possible.

On a personal note, this past year on CDSA Council has been very rewarding. Having seen the successful completion of some of the initiatives mentioned above has made the time spent in preparing for and attending meetings so worthwhile. I personally thank each member of the current Council as well as members of previous Councils that made the work enjoyable. I thank my practice team members and my family for allowing me the time away to pursue these endeavors.

A handwritten signature in black ink, appearing to read 'Troy Basarab', written in a cursive style.

Dr. Troy Basarab
President, College of Dental Surgeons of Alberta



CDSA Vision, Mission, Core Values, & Goals

OUR VISION

TO PROVIDE EXCELLENCE IN REGULATION WHILE UPHOLDING THE PUBLIC INTEREST AND SETTING PROFESSIONAL STANDARDS.

OUR MISSION

TO LEAD THE PROFESSION VIA GOVERNANCE IN A MANNER THAT PROTECTS AND SERVES PUBLIC INTEREST.

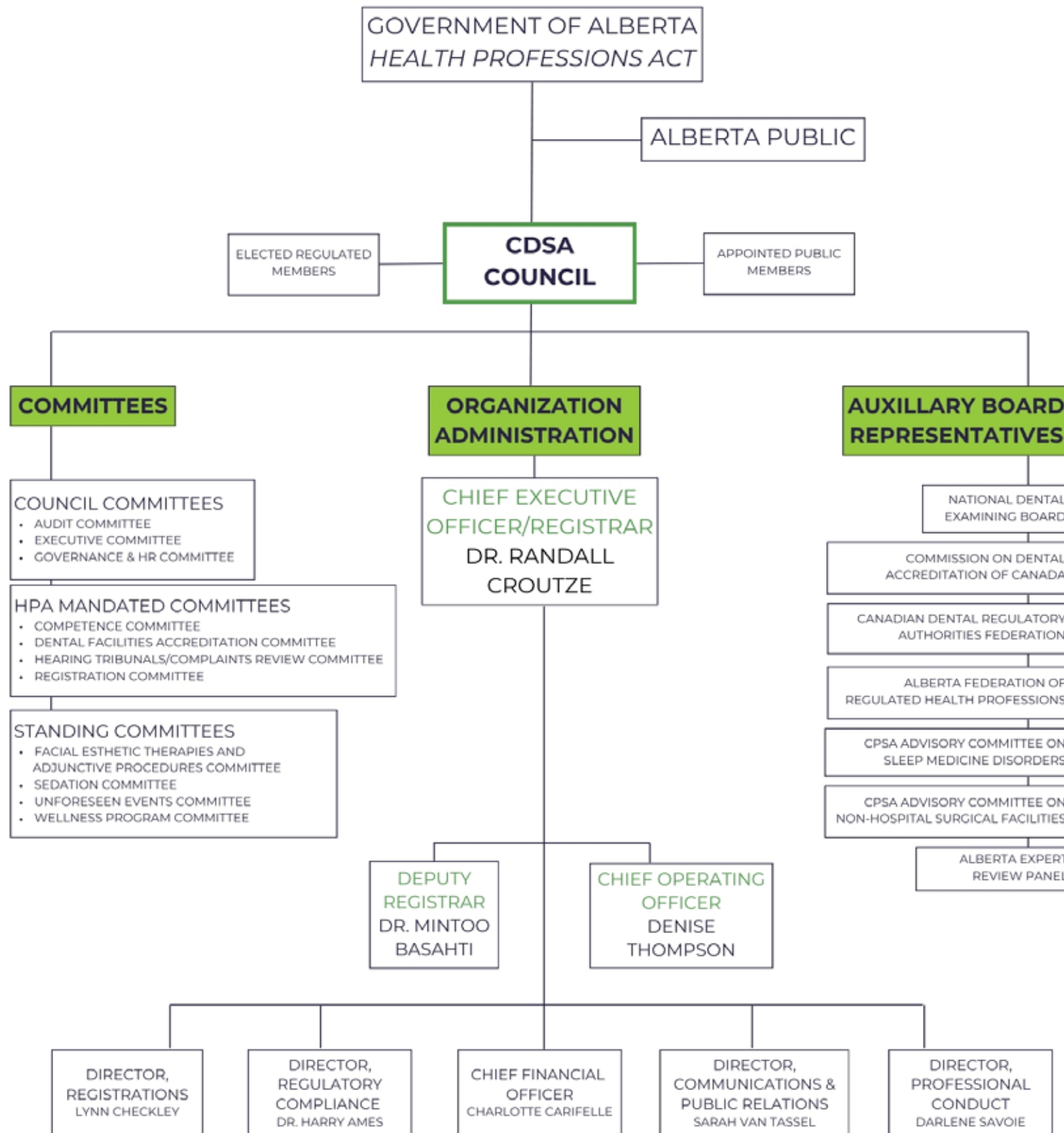
OUR CORE VALUES

INTEGRITY RESPECT COMPASSION FAIRNESS TRANSPARENCY

OUR GOALS

- QUALITY HEALTH SERVICES FOR ALBERTANS THAT ENSURES SAFE, APPROPRIATE, AND ETHICAL HEALTH CARE IN-LINE WITH CDSA'S CORE VALUES.
- FURTHER THE TRUSTED RELATIONSHIP BETWEEN THE PROFESSION AND THE PUBLIC, AS WELL AS OTHER STAKEHOLDERS.

ORGANIZATIONAL STRUCTURE



HPA & GOVERNANCE

Together, the Council, CEO, and administrative team are fully engaged in advancing the CDSA's mission: To lead the profession via governance in a manner that protects and serves the public interest.

The College of Dental Surgeons of Alberta (CDSA) carries out its activities and governs the profession of dentists in a manner that protects and serves the public according to the *Health Professions Act* (HPA). This is achieved by providing direction to and regulating the practice of dentists through establishing, maintaining, and enforcing standards for registration, continuing competence, standards of practice, and a code of ethics. The CDSA also acts on a complaint about a dentist by resolving, investigating or referring to a hearing, and enforcing any orders that a hearing tribunal may make.

The College is governed by a Council that is made up of equal numbers of dentists elected and members of the public who are appointed by the provincial government. The Council is the highest leadership body of the CDSA and is responsible for the organization's stewardship. The value of the Council comes from the knowledge, skills, and diverse backgrounds of its directors, both public and regulated members, their cohesion as a team, their commitment to CDSA and its work, and their collaborative relationship with the Chief Executive Officer (CEO) and the administrative team.

Together, the Council, CEO, and administrative team are fully engaged in advancing the CDSA's mission: to lead the profession via governance in a manner that protects and serves the public interest.

Several committees assist the Council in achieving its governance goals. Unless the Act gives them the authority to make decisions, committees report and make recommendations to the Council, which is the decision-maker for the College.

The Executive Committee is established under the Bylaws as a Committee of the Council to facilitate its work. It meets between council meetings and may make recommendations to the Council. The Executive Committee is comprised of the President, President-Elect, Executive Public Member, Past President, and the CEO.

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The Audit Committee is primarily responsible for the financial reporting, information systems, risk management, and internal College controls. The Governance and Human Resources Committee is responsible for reviewing governance that will enhance the effectiveness of the College, and assists the Council by recommending dentists to populate other types of committees.

The HPA sets out requirements for certain committees in areas such as Competence, Registration, Dental Facilities Accreditation, Complaints Review, and Hearing Tribunals. The Complaints Review and Hearing Tribunal committees are required to have 50% public member representation appointed by the Government of Alberta.

Standing committees have been established to ensure competent practice that is related to specific restricted activities, including sedation, facial esthetic therapies and adjunctive procedures, and unforeseen events.



OMBUDSMAN’S REVIEW

From time to time, the Alberta Ombudsman contacts the CDSA regarding concerns they have received about processes at the College.

The College welcomes the Ombudsman’s input and dialogue as part of continuous quality improvement processes, and to nurture regulatory and system excellence.

In the 2023 reporting period, the College was advised by the Alberta Ombudsman that an inquiry was received related to a complaint about a dentist that was managed by the College. The complainant was concerned that since resolution efforts were unsuccessful, the matter was dismissed. The College was able to inform the Ombudsman’s Office that when resolution efforts fail, the complaint is sent for an investigation.

The Alberta Ombudsman concluded that since a final decision had not yet been reached by the College, their involvement was premature, and they thanked the College for a quick response.

There were no further interactions with the Alberta Ombudsman in 2023.

REGULATING THE DENTAL PROFESSION IN ALBERTA FOR OVER 100 YEARS

Founded in 1906 by an Act of Legislation, the CDSA was initially known as the Alberta Dental Association, which became the regulatory body for dentists in the newly established Province of Alberta. Before this, Alberta dentists were registered under the *Northwest Territories Act*.

The Alberta Dental Association name was in place until 2001, when the government proclaimed the *Alberta Health Professions Act*, which changed several designations in self-regulating health professions. Under the new Act, the Alberta Dental Association’s name was changed to incorporate the “College” designation. The Alberta Dental Association and College (ADA&C) would continue to function as the regulatory and registering body for dentists in the province and promote and advocate for the continued oral health of Albertans.

In December 2020, the Government of Alberta passed Bill 46, *The Health Statutes Amendment Act, 2020 (No. 2)*. This bill provided significant amendments to the *Health Professions Act*, including the mandatory requirement for health professional regulatory colleges to cease and divest of professional association functions and activities. With this amendment to the legislation, the ADA&C Council adopted a new name and began the process of divesting association functions and activities.

On June 1, 2022, the Alberta Dental Association and College began operating as the College of Dental Surgeons of Alberta (CDSA). In December 2023, by Royal Assent of the *Miscellaneous Statutes Amendment Act, 2023 (Bill 9)*, the College of Dental Surgeons of Alberta was officially recognized.

The College of Dental Surgeons of Alberta continues to carry out its activities and govern the profession of dentists in a manner that protects and serves the public and patients.



2023 CDSA COUNCIL

Top L-R:
Dr. Neil Strembiski, Dr. Brant Alfaro
Ms. Scarlett Crockatt, Dr. Colin Wiebe, Ms. Shari LaPerle

Bottom L-R:
Ms. Barbara Chipeur, Dr. Troy Basarab, Mr. Terrence Bayrock
Dr. Lee Darichuk, Dr. Michael Piepgrass

Not pictured:
Dr. Nathaniel Podilsky, Ms. Nickolletta Sandie

EXECUTIVE COMMITTEE

- DR. TROY A. BASARAB
PRESIDENT, SOUTHERN DISTRICT
- DR. LEE G. DARICHUK
PAST PRESIDENT (NON-VOTING)
- DR. NEIL E. STREMBISKI
PRESIDENT-ELECT, CENTRAL DISTRICT
- MS. SHARI LAPERLE
EXECUTIVE PUBLIC MEMBER

DIRECTORS

- DR. BRANT A. ALFARO
EDMONTON DISTRICT
- DR. MICHAEL E. PIEPGRASS
NORTHERN DISTRICT
- DR. NATHANIEL D. PODILSKY
EDMONTON DISTRICT
- DR. COLIN B. WIEBE
CALGARY DISTRICT

PUBLIC MEMBERS

- MR. TERRENCE BAYROCK
- MS. BARBARA CHIPEUR
- MS. SCARLETT CROCKATT
- MS. NICKOLLETTA SANDIE

PUBLIC MEMBERS' REPORT

The public members of the Council of the CDSA are appointed by the Minister of Health. In 2023, there were five public members on Council, with one also serving in the role of Executive Public Member on the Executive Committee.

As public members, we were proud to be part of the first year of operation under the name College of Dental Surgeons of Alberta. We said farewell to the Alberta Wellness Summit, which we successfully co-hosted in June 2023 for hundreds of dental professionals, and the previous association functions of the Alberta Dental Association and College were assumed by the Alberta Dental Association.

The dentist and public directors of the College work together toward our shared goals of protecting the public, adhering to statutory requirements, and developing and fostering appropriate professional standards. Throughout the year, public members of Council engaged fully in decision making at meetings of Council, participated on subcommittees of the College, and took part in appeal hearings regarding allegations of unprofessional conduct.

During the past year the Council undertook the review and revision of the Standard of Practice: *Patient Records*, the Standard of Practice: *Informed Consent*, the Standard of Practice: *Privacy and Management of Patient Health Information*, and the Code of Ethics. In 2023, the Professional Liability Insurance Plan for Alberta dentists continued to protect dentists and serve the public.

The public members of the CDSA Council are pleased to participate in governance of the College as we continue to strive for excellence.

CDSA PUBLIC MEMBERS:

Ms. SHARI LaPERLE

Mr. TERRENCE BAYROCK-

Ms. BARBARA CHIPEUR

Ms. SCARLETT CROCKATT

Ms. NICKOLLETTA SANDIE



REGISTRATION & RENEWAL OF PRACTICE PERMITS

To practice dentistry in Alberta, you must be registered with the CDSA.

GENERAL DENTIST

To apply for registration as a general dentist in the province of Alberta, an applicant must provide a copy of the National Dental Examining Board of Canada (NDEB) certificate.

DENTAL SPECIALIST

To apply for registration as a dental specialist in the province of Alberta, an applicant must provide a copy of the National Dental Examining Board of Canada–National Dental Specialty Examination (NDEB NDSE) certificate.

GENERAL DENTIST & DENTAL SPECIALIST

To apply for registration as both a general dentist and dental specialist in the province of Alberta, an applicant must provide a copy of the National Dental Examining Board of Canada (NDEB) certificate and the National Dental Examining Board of Canada–National Dental Specialty Examination (NDEB NDSE) certificate.

The *Health Professions Act* outlines nine nationally recognized dental specialties:

DENTAL PUBLIC HEALTH

Dental public health is the branch and specialty of dentistry concerned with the diagnosis, prevention and control of dental diseases, and the promotion of oral health through organized community efforts. Dental public health serves the community as the patient (rather than the individual) through research, health promotion, education, and group dental care programs.

ENDODONTICS

Endodontics is the branch and specialty of dentistry that is concerned with the morphology, physiology and pathology of the dental pulp and periradicular tissues. Its study and practice encompass the basic clinical sciences including biology of the normal pulp, and etiology, diagnosis, prevention and treatment of diseases and injuries of the pulp and associated periradicular tissues.

ORAL MEDICINE AND PATHOLOGY

Oral medicine and oral pathology is the branch and specialty of dentistry concerned with the diagnosis, nature and primarily non-surgical management of oral, maxillofacial and temporomandibular diseases and disorders, including dental management of patients with medical complications. Oral medicine and oral pathology are two applied components of this specialty.

ORAL AND MAXILLOFACIAL SURGERY

Oral and maxillofacial surgery is the branch and specialty of dentistry which is concerned with and includes the diagnosis, surgical and adjunctive treatment of disorders, diseases, injuries and defects involving both the functional and aesthetic aspects of the hard and soft tissues of the oral and maxillofacial regions and related structures.

ORAL AND MAXILLOFACIAL RADIOLOGY

Oral and maxillofacial radiology is the branch and specialty of dentistry concerned with the prescription, production and interpretation of diagnostic images for the diagnosis and management of diseases and disorders of the craniofacial complex.

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ORTHODONTICS AND DENTOFACIAL ORTHOPEDICS

Orthodontics and dentofacial orthopedics is the branch and specialty of dentistry concerned with the supervision, guidance and correction of the growing or mature dentofacial structures and the diagnosis, prevention and treatment of any abnormalities associated with these structures.

PEDIATRIC DENTISTRY

Pediatric dentistry is the branch and specialty of dentistry concerned with providing primary and comprehensive preventive and therapeutic oral health diagnosis, care and consultative expertise for infants and children through adolescence, including those of all ages with special care needs.

PERIODONTICS

Periodontics is the branch and specialty of dentistry concerned with the diagnosis, prevention, and treatment of diseases and conditions of the supporting and surrounding tissues of the teeth or their substitutes and the maintenance of the health, function and aesthetics of these structures and tissues.

PROSTHODONTICS

Prosthodontics is the branch and specialty of dentistry concerned with the diagnosis, restoration and maintenance of oral function, comfort, appearance and health of the patient by the restoration of the natural teeth and/or the replacement of missing teeth and contiguous oral and maxillofacial tissues with artificial substitutes.

REGISTRATION STATISTICS

NEW REGISTRANTS AS OF DECEMBER 31, 2023: 147

General Dentist: 131
General Dentist & Dental Specialist: 7
Dental Specialist: 6
General Practice Residency (GPR): 3

NEW REGISTRATIONS INCLUDING APPLICATIONS: 172

Canadian: 91
International: 81

NEW SPECIALIST REGISTRATIONS TOTALS: 20

Pediatric: 6
Periodontic: 3
Endodontic: 2
Oral & Maxillofacial Surgeon: 4
Orthodontic: 5

NEW GRADUATE TOTALS: 79

Canadian: 48
International: 31



The following summarizes registration data from the 2023 membership year.

TOTAL REGULATED MEMBERS: 3,002

General Dentists: 2,639
Dental Specialists: 291
Education & Research: 57
Courtesy Registration: 11
Volunteer Registration: 4

PROFESSIONAL CORPORATIONS: 2,876

EDUCATION & RESEARCH TOTALS: 59

Instructor: 26
Graduate Student: 29
Satellite Clinic Rotation: 4

CONTINUING COMPETENCE

The Council and the Competence Committee of the College continue to review the program requirements for Continuing Education in terms of the accountability that is required under the *Health Professions Act*. The Continuing Competence requirement is 60 program credits over a two-year cycle (e.g., January 1, 2022 to December 31, 2023). Continuing Competence requirements must be met prior to permit renewal at the end of the two-year cycle. If a dentist has not met their required program credit amounts they may apply for a 60-day extension for the two-year cycle. If the dentist has not met the required program credit amounts they are suspended and could have their practice permit cancelled until they obtain the required credits.

Dentists are responsible for self-reporting their continuing education credits online. Dentists must also submit verification by the provider as this is mandatory or no program credits are given. Credits are granted based on one hour of lecture time equals one credit time. It is the dentist’s ethical responsibility to ensure that all reporting of continuing education is accurate. Failure to do so may constitute unprofessional conduct and may result in disciplinary action by the College.

Continuing education and credits may be earned from directed education, which contains two categories, Regulatory-Directed and Self-Directed.

Regulatory-Directed learning is a process in which dentists take mandatory education courses implemented through motions by Council, Standards of Practice, or compliance with the *Health Professions Act* (e.g.: CPR/BLS, Sexual Abuse and Sexual Misconduct).

Self-Directed Learning is a process in which dentists take the initiative, with or without the help of others, in assessing their learning needs, formulating learning goals, and identifying resources for learning, choosing, and implementing appropriate learning strategies.

Sources where dentists may obtain courses, lectures, or equivalents that are recognized for continuing education credit, subject to limitations, are outlined in the *Continuing Competence/Education Program Requirements – Updated January 2022*.



PRACTICE VISITS

Practice visits are part of the *Health Professions Act*.

The College conducts practice visits as part of the Continuing Competence Program as directed by Council. The visitations are designed to assist dentists in the guidance and implementation of the Standard of Practice: *Infection Prevention and Control Standards and Risk Management for Dentistry*, and the Standard of Practice: *Minimal and Moderate Sedation Deep Sedation and General Anaesthesia in Non-Hospital Dental Practice*, along with other SOPs, Guides, and the Code of Ethics.

A TOTAL OF 111 PRACTICE VISITS WERE COMPLETED FROM JANUARY TO DECEMBER 2023.

DENTAL FACILITIES ACCREDITATION

All non-hospital surgical facilities and dental surgical facilities where deep sedation or general anaesthesia are used in dental treatment must be accredited by the College. This process involves application, completion of a questionnaire, and an inspection to ensure compliance with the Standard of Practice: *Minimal and Moderate Sedation Deep Sedation and General Anaesthesia in Non-Hospital Dental Practice*. This process is separate from what is required by the College of Physicians and Surgeons of Alberta (CPSA).

Accreditation is required by law in Alberta under the HPA, the *Dental Surgical Facility Accreditation Regulation*, and the *Health Facilities Act*, and is carried out by the Dental Facilities Accreditation Committee. The College carries out the responsibilities of accreditation (as outlined in the HPA, Schedule 7) by:

- establishing a Dental Facilities Accreditation Committee;
- establishing the power and authority of the Dental Facilities Accreditation Committee to accredit facilities; and
- allowing for decisions of the Dental Facilities Accreditation Committee to be appealed to Council.

The *Dental Surgical Facility Accreditation Regulation* requires Council to approve and publish standards for dental surgical facilities, and requires dentists working in accredited facilities to comply with those standards. Section 12(1) of Schedule 7 of the HPA requires that a dental surgical facility be accredited before a dentist provides dental surgical services to the public within the facility.



2023 DENTAL FACILITIES ACCREDITATION STATISTICS

TOTAL ACCREDITED FACILITIES: 35
Total Procedures Provided in Accredited Facilities: 46,029

DFA ACTIVITIES
Facility Assessments: 12
Extended Accreditation: 3
Accreditations Outstanding: 3
New Facility Applications: 1
New Facilities Accredited: 1

One Facility left the program 2023

COMPLAINTS RESOLUTION

The College responds to all complaints about Alberta dentists within the authority granted by legislation and pursuant to the *Health Professions Act*. The public interest is forefront in the complaints process, and the main goal is public safety while also ensuring fairness to complainants and the profession.

THE COMPLAINT PROCESS IS DESIGNED TO REVIEW A COMPLAINT AND DETERMINE IF:

- resolution efforts are appropriate and if so, the complainant and dentist are encouraged to attempt to resolve the conflict directly;
- the Complaints Director can assist in attempts to resolve the conflict;
- the complaint should be referred to investigation;
- the complaint should be dismissed; or
- the complaint should be referred to a hearing.

IF A COMPLAINT IS REFERRED TO A HEARING:

- a hearing is held where witnesses, including the complainant, can be present and may give evidence;
- hearings are open to the public and the date of a hearing is published on the College’s website;
- the purpose of the hearing process is to determine if the dentist has engaged in unprofessional conduct. The hearing process is focused on the conduct of the dentist and not financial compensation for the complainant;
- each hearing tribunal includes two public members appointed by the Lieutenant Governor in Council, Government of Alberta, and two dentists; and
- if a hearing tribunal determines that a dentist has engaged in unprofessional conduct, a summary of the decision is posted on the College’s website.

Dentists are encouraged to address treatment concerns with their patients early on so both understand the issues and, if necessary, immediately make changes to protect the public while also addressing the particular patient’s needs.

When complaints are made to the College, dentists and complainants are encouraged by the Complaints Director, or with the assistance of the Complaints Director, to work together to resolve the complaint.



CLASSIFICATION & CURRENT STATUS OF COMPLAINTS

CATEGORY	TOTAL	TREATMENT COMPLAINTS	ADVERTISING COMPLAINTS	SEXUAL ASSAULT/ SEXUAL MISCONDUCT
Complaints carried over from previous years and open as of January 1, 2023	207	206	1	0
New Complaints 2023	185	184	0	1
Complaints Concluded 2023	169	168	1	0
Complaints carried over to January 1, 2024	223	222	0	1
BREAKDOWN OF COMPLAINTS				
Complaints referred to hearing by the Complaints Director	7	7	0	0
Complaints referred to hearing by the Complaints Review Committee	1	1	0	0
Hearings conducted	4	4	0	0
Hearings partly or completely closed to public	0	0	0	0
Hearing Decision Appeals	2	1	0	1
Requests for Review by the Complaints Review Committee	3	3	0	0
Reviews Completed by the Complaints Review Committee	6	6	0	0
Members assessed for incapacity	0	0	0	0

PATIENT RELATIONS PROGRAM

The College receives and investigates all allegations of sexual abuse and sexual misconduct. The College has a Patient Relations Program which provides support to patients who have been impacted by sexual abuse and sexual misconduct by a dentist. Specifically, the Patient Relations Program includes funding for therapy or counselling for patients who file a complaint alleging sexual misconduct or abuse by a dentist. Processes have been put in place to ensure access to funding in accordance with the *Funding for Treatment and Counselling Regulation*, which came into effect on June 2, 2021. This regulation out-lines the requirements relating to the eligibility, administration, and cessation of funding. The CDSA also provides information on its website and contact information for specialized sexual abuse and sexual misconduct support services located throughout Alberta.

To make information about sexual misconduct and sexual abuse education more prominent for dentists, the CDSA posts information on sexual abuse prevention online, and has the following requirements:

- mandatory educational training and guidelines for all dentists, including a publicly accessible three-module resource called ‘Protecting Patients from Sexual Abuse and Misconduct’ which was developed by the Alberta Federation of Regulated Health Professionals;
- mandatory educational training of all CDSA employees, Council, and public and member tribunal members;
- the publication of a Standard of Practice: *Sexual Abuse and Sexual Misconduct, and Female Genital Mutilation*; and
- information on its website regarding the process for making a complaint to the College about sexual abuse or sexual misconduct by a dentist towards a patient.

The College also publishes information on its website with respect to findings of unprofessional conduct by members of the profession based in whole or in part on sexual abuse or sexual misconduct in accordance with the *Health Professions Act*.

In addition to the above, the College continues to provide funding for treatment or counselling for eligible patients who have alleged sexual abuse or sexual misconduct by a registered dentist.

SEDATION

Dentists offering sedation must adhere to the requirements outlined in the Standard of Practice: *Minimal and Moderate Sedation Deep Sedation and General Anaesthesia in Non-Hospital Dental Practice*. This Standard of Practice applies to all dentists who are administering sedation in a dental practice or Accredited Dental Surgical Facility.

The *Deep Sedation and General Anaesthesia in Non-Hospital Dental Practice* section of the standard of practice is the standard for administration of sedation with respect to dental surgical services in Alberta in accordance with Section 4 of the *Dental Surgical Facility Accreditation Regulation*.

Sedation is a pharmacologically-induced, minimally to moderately depressed level of consciousness that retains the patient’s ability to independently and continually maintain an airway and respond to physical stimuli and verbal command. Specifically, the Minimal and Moderate Sedation section of the Standards of Practice applies to:

- dental practices where sedation is administered;
- dentists who administer sedation;
- dentists who administer Modalities 1 through 8 in a dental practice that is within or part of a non-hospital dental practice; and
- clinical personnel who provide surgical or sedation support to dentists administering sedation.

Minimal and Moderate Sedation consists of eight modalities of sedation and is divided into eight sections representing the eight modalities. The modality determines the physical equipment and personnel requirements of the dental setting, the training requirements of the dentist administering the sedation, and the clinical personnel assisting the dentist. The requirements for each modality, when used separately or in combination by a dentist in a non-hospital dental practice, must be met.

The College issues a permit based on the level of competency achieved for all dentists providing Modality 5 through Modality 8 described by the Standard. This permit is specific to the dentist and expires December 31 of the calendar year.

A dentist who has successfully completed an educational program in the administration of deep sedation and/or general anaesthesia that has been credentialed and authorized by Council is issued a permit by the College. This permit is specific to the dentist and the accredited dental surgical facility and expires December 31 of the calendar year.

UNFORESEEN EVENTS

An Unforeseen Event must be reported to the Chief Executive Officer of the College. An Unforeseen Event is if a patient’s response to sedation results in:

- death within the dental office or within 10 days of the sedation procedure;
- depression beyond the level of sedation intended;
- entry of the patient into levels of deep sedation;
- general anaesthesia; or
- an unanticipated event results in the need for transfer of the care of the patient to another provider, a dental surgical facility, a non-hospital surgical facility or hospital.

SEDATION STATISTICS

The modalities are:

- Modality 1:**
Nitrous Oxide Sedation for patients 12 years of age and older
- Modality 2:**
Nitrous Oxide Sedation for patients under 12 years of age
- Modality 3:**
Oral Sedation for patients 12 years of age and older
- Modality 4:**
Oral Sedation for patients under 12 years of age
- Modality 5:**
Oral Sedation with Nitrous Oxide Sedation for patients 12 years of age and older
- Modality 6:**
Oral Sedation with Nitrous Oxide Sedation for patients under 12 years of age
- Modality 7:**
Parenteral (intravenous only) Sedation for patients 12 years of age and older
 - Single Drug IV (7S – [Benzodiazepine])
 - Two Drug IV (7F – [Benzodiazepine with Fentanyl])
- Modality 8:**
Parenteral (intravenous only) Sedation for patients under 12 years of age
 - Single Drug IV (7S – [Benzodiazepine])
 - Two Drug IV (7F – [Benzodiazepine with Fentanyl])
- DGA Deep Sedation/General Anaesthesia**
 - Patients 12 years of age and older
 - Patients under 12 years of age

PERMIT MODALITY & NUMBER ISSUED	
7f,8f*	1
7s,7f,8s,8f*	1
5,7s,7f,8s,8f*	2
5,6,7s,7f*	3
7f*	4
7s	7
5,6	12
5,7f	12
5,6,7s,7f,8s,8f*	17
7s,7f	19
5,7s	22
5,7s,7f*	125
5	496
DGA 12 years of age and older	7
DGA under 12 years of age	0
DGA 12 years of age older and under	23
TOTAL PERMITS ISSUED:	751

**combined number for the same dentist providing different modalities
(Minimal and Moderate Sedation Deep Sedation and General Anaesthesia)*

SEDATION STATISTICS

REGISTRATION		#
Modality 1: Nitrous Oxide Sedation for patients 12 years of age and older		1,411
Modality 2: Nitrous Oxide Sedation for patients under 12 years of age		1,244
Modality 3: Oral Sedation for patients 12 years of age and older		1,388
Modality 4: Oral Sedation for patients under 12 years of age		35
Modality 5: Oral Sedation with Nitrous Oxide Sedation for patients 12 years of age and older		688
Modality 6: Oral Sedation with Nitrous Oxide Sedation for patients under 12 years of age		31
Modality 7: Parenteral (intravenous only) Sedation for patients 12 years of age and older		
	Single Drug IV (7S -[Benzodiapine])	195
	Two Drug IV (7F -[Benzodiapine with Fentanyl])	183
Modality 8: Parenteral (intravenous only) Sedation for patients under 12 years of age		
	Single Drug IV (8S -[Benzodiapine])	20
	Two Drug IV (8F -[Benzodiapine with Fentanyl])	21
DGA Deep Sedation/General Anaesthesia		
	Patients 12 years of age and older	30
	Patients under 12 years of age	23

FACIAL ESTHETIC THERAPIES & ADJUNCTIVE PROCEDURES

The Standard of Practice: *Facial Esthetic Therapies and Adjunctive Procedures* applies to dentists who are administering Schedule 1 drugs such as neuro-modulators (e.g. Botulinum Toxin Type A), dermal fillers, other agents (injected and/or topical), and adjunctive non-surgical and/or surgical therapies used to provide comprehensive therapeutic and esthetic oral and maxillofacial treatment for the restoration of a patient’s appearance in form and function, or to enhance their appearance, or both.

The College issues a certificate to each dentist indicating the year of member authorization and effective date, which is valid indefinitely. Certificates are based on the level of competency achieved for all dentists providing the levels of treatment described in the Standard. The College maintains a list of approved programs at each level. Oral and Maxillofacial Surgeons may provide any procedures, if their training encompassed said therapeutic procedures. Dentists may not provide any of these procedures without a certificate.

Level 1: Applied Anatomy Review and Introduction to Neuromodulators *(Level 1 does not authorize the dentist to provide any patient treatment)*

Level 2: Basic Neuromodulators - Upper Face and Bruxism Treatment

Level 3: Advanced Neuromodulators - Mid-Face and Lower Face/Neck Regions and Myofascial Pain and Dysfunction Treatment

- Level 4:
- 4a – Dermal Fillers - Basic Facial Dermal Fillers
 - 4b – Dermal Fillers - Advanced Facial Dermal Fillers
 - 4N – Neuromodulators for Deep Muscles of Mastication

Level 5: Advanced Non-Surgical Esthetic Procedures

Level 6: Advanced Surgical Esthetic Procedures

LEVEL OF CERTIFICATION	# OF DENTISTS
Level 1: Applied Anatomy Review and Introduction to Neuromodulators <i>(Level 1 does not authorize the dentist to provide any patient treatment)</i>	829
Level 2: Basic Neuromodulators: Upper Face and Bruxism Treatment	769
Level 3: Advanced Neuromodulators: Mid-Face and Lower Face/Neck Regions and Myofascial Pain and Dysfunction Treatment	207
Level 4: 4a – Dermal Fillers: Basic Facial Dermal Fillers	66
Level 4: 4b – Dermal Fillers: Advanced Facial Dermal Fillers	34
Level 4: 4N – Neuromodulators for Deep Muscles of Mastication	20
Level 5: Advanced Non-Surgical Esthetic Procedures	18
Level 6: Advanced Surgical Esthetic Procedures	16

RADIATION HEALTH & SAFETY PROGRAM

In the field of dentistry, radiography plays a fundamental role in both diagnosis and treatment procedures. Proficient management of radiation equipment within dental facilities is imperative to ensure the safety of patients, practitioners, and personnel.

For over 25 years, Alberta Occupational Health and Safety has designated the College as an Authorized Radiation Health Registration Agency. This legislative framework outlines the obligations of the College to ensure that its regulated members adhere to the regulations as part of their professional duties.

Dental X-ray equipment, including class 3B and class 4 lasers, must be registered with the College and issued a registration certificate before operating. An inspection report and an application form are essential to the registration process to ensure compliance with regulatory standards and facilitating the effective management of radiation equipment within dental facilities. The inspection report details the compliance status of the x-ray equipment and lasers, while the application form gathers necessary information about the designated responsible dentist and the facility.

The College also requires mandatory use of personal dosimetry monitoring and a Quality Control Program in all facilities. Cumulatively, this process facilitates the evaluation and approval of the legislative requirements, allowing the College to issue a Radiation Health and Safety Program Equipment Registration Certificate.

2023 RADIATION HEALTH & SAFETY STATISTICS

- Active facilities certified 1,607
- Active owners registered 1,406
- Pieces of active equipment certified excluding lasers 7,911
- Class 3b or Class 4 lasers 894
- Suspended or cancelled registrations/certificates 0

PROFESSIONAL LIABILITY INSURANCE PROGRAM

The Professional Liability Insurance Program (PLIP) was created by a motion of Council and took effect for all dentists on January 1st, 2022. The *Health Professions Act* specifically gives Council the authority to govern “the minimum coverage and type of insurance required to be carried” by dentists.

Including the base coverage with regulated members’ practice permit guarantees that every dentist has the minimum amount of coverage required by the Council to protect the public in the event of injury resulting from dental negligence or wrongdoing.

The program is also structured to indemnify current, former, and retired dentists as well as a dentist’s professional corporations, volunteers, and dental students.

The PLIP is administered by Lloyd Sadd Insurance Brokers; an Alberta commercial insurance broker, and Victor Canada; an expert in professional liability insurance in Canada since 1964. The program’s risk is diversified amongst eight different insurance companies, specifically: Arch, Aviva, Berkley, Beazley, Chubb, Everest, Temple, XL, and Lloyds of London.

In addition to the \$2 million in base coverage that is included with a dentists’ practice permit, an additional \$23 million in excess coverage is available through Lloyd Sadd Insurance Brokers, or a broker of a dentists’ choice.

The College is provided an anonymized, generic update of incidents and claims quarterly. The College is not privy to any specific information and are only provided with the date the incident was reported and/or closed, the type of incident, whether a claim was paid, any expenses incurred, and whether the insurance manager, Victor Canada, has placed any reserves against future claims on the paid premiums.



SUMMARY OF FINANCIAL STATEMENTS

College of Dental Surgeons of Alberta (Formerly, Alberta Dental Association and College)
Year ended December 31, 2023



KPMG LLP
2200, 10175 – 101 Street
Edmonton, AB T5J 0H3
Canada
Telephone 780-429-7300
Fax 780-429-7379

INDEPENDENT AUDITOR’S REPORT

To the Members of College of Dental Surgeons of Alberta

Opinion

The summary financial statements of College of Dental Surgeons of Alberta (the Entity), which comprise:

- the summary statement of financial position as at December 31, 2023
- the summary statement of operations for the year then ended
- the summary statement of changes in fund balances
- and related note are derived from the audited financial statements of College of Dental Surgeons of Alberta as at and for the year ended December 31, 2023 (the audited financial statements).

In our opinion, the accompanying summary financial statements are consistent, in all material respects, with the audited financial statements, in accordance with the criteria disclosed in Note 1 in the summary financial statements.

Summary Financial Statements

The summary financial statements do not contain all the disclosures required by Canadian accounting standards for not-for-profit organizations. Reading the summary financial statements and the auditor’s report thereon, therefore, is not a substitute for reading the Entity’s audited financial statements and the auditor’s report thereon.

The summary financial statements and the audited financial statements do not reflect the effects of events that occurred subsequent to the date of our report on the audited financial statements.

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Management's Responsibility for the Summary Financial Statements

Management is responsible for the preparation of the summary financial statements in accordance with the criteria disclosed in Note 1 in the summary financial statements.

Auditor's Responsibility

Our responsibility is to express an opinion on whether the summary financial statements are consistent, in all material respects, with the audited financial statements based on our procedures, which were conducted in accordance with Canadian Auditing Standards 810, Engagements to Report on Summary Financial Statements.

Chartered Professional Accountants

Edmonton, Canada

May 21, 2024

COLLEGE OF DENTAL SURGEONS OF ALBERTA

(FORMERLY, ALBERTA DENTAL ASSOCIATION & COLLEGE)

Summary Statement of Financial Position

December 31, 2023, with comparative information for 2022

	2023	2022
Assets		
Current assets:		
Cash and cash equivalents	\$ 3,707,498	\$ 4,047,245
Accounts receivable	606,494	310,233
Investments	20,327,072	22,362,649
Prepaid expenses	179,891	481,575
	24,820,955	27,201,702
University of Alberta - student loan fund	-	30,927
Capital assets	7,516,144	7,822,627
	\$ 32,337,099	\$ 35,055,256
Liabilities and Fund Balances		
Current liabilities:		
Accounts payable and accrued liabilities	\$ 4,724,564	\$ 4,730,029
Deferred membership and other fees	9,872,260	10,539,407
	14,596,824	15,269,436
Fund balances:		
Invested in capital assets	7,516,144	7,822,627
Internally restricted	2,330,970	2,486,830
Externally restricted	-	154,231
Unrestricted	7,893,161	9,322,132
	17,740,275	19,785,820
	\$ 32,337,099	\$ 35,055,256

See accompanying note to summary financial statements.

COLLEGE OF DENTAL SURGEONS OF ALBERTA (FORMERLY, ALBERTA DENTAL ASSOCIATION & COLLEGE)

Summary Statement of Operations

Year ended December 31, 2023, with comparative information for 2022

	2023	2022
Revenue:		
Membership fees	\$ 10,492,935	\$ 10,288,800
Professional corporation fees	406,300	394,937
Registrations	247,900	296,200
Other income	673,638	686,117
Documents	3,861	89,178
Investment income	725,661	285,086
	12,550,295	12,040,318
Expenditures:		
Council and Committee	1,052,294	921,938
Reserve Contributions and External Dues	4,880,008	1,651,204
General Administration	7,265,484	6,427,226
Marketing and Communications	161,573	157,816
Professional Conduct	1,207,040	1,277,673
Regulatory Compliance	29,441	21,674
	14,595,840	10,457,531
Excess of revenues over expenditures	\$ (2,045,545)	\$ 1,582,787

See accompanying note to summary financial statements.

COLLEGE OF DENTAL SURGEONS OF ALBERTA (FORMERLY, ALBERTA DENTAL ASSOCIATION & COLLEGE)

Summary Statement of Changes in Fund Balances

Year ended December 31, 2023, with comparative information for 2022

	Invested in capital assets	Internally restricted	Externally restricted	Unrestricted	2023	2022
Balance, beginning of year	\$ 7,822,627	\$ 2,486,830	\$ 154,231	\$ 9,322,132	\$ 19,785,820	\$ 18,203,033
(Deficiency) excess of revenue over expenditures	(429,161)	(1,037,902)	(154,231)	(424,251)	(2,045,545)	1,582,787
Purchase of capital assets	122,678	-	-	(122,678)	-	-
Transfers	-	882,042	-	(882,042)	-	-
Balance, end of year	\$ 7,516,144	\$ 2,330,970	\$ -	\$ 7,893,161	\$ 17,740,275	\$ 19,785,820

See accompanying note to summary financial statements.

COLLEGE OF DENTAL SURGEONS OF ALBERTA (FORMERLY, ALBERTA DENTAL ASSOCIATION & COLLEGE)

Note to the Summary Financial Statements

Year ended December 31, 2023

1. Basis of presentation:

Management is responsible for the preparation of the summary financial statements. The summary financial statements are comprised of the summary statement of financial position, the summary statement of operations and summary statement of changes in fund balances and do not include any other schedules or a summary of significant accounting policies. The summary statement of financial position, summary statement of operations and summary of changes in fund balances are presented with the same amounts as the audited financial statements.



College of Dental Surgeons of Alberta

402, 7609 – 109 Street NW

Edmonton, AB T6G 1C3

www.cdsab.ca

